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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ron E. Goade, Sr.) Atty. Dkt. No. 6928.021
Serial No.: Not Yet Assigned)
Filed: Herewith)
For: METHOD OF MAKING TRANSACTION)
CARD ASSEMBLIES)

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

**List of Sections Forming Part of This
Information Disclosure Statement**

The following sections are being submitted for this Information Disclosure Statement:

1. [X] Preliminary Statements
2. [X] FORM PTO-1449 (Modified)
3. [X] Copies of Listed Information Items Accompanying this Statement
4. [X] Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted
5. [X] Identification of Person(s) Making this Information Disclosure Statement

Section 1. Preliminary Statements

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. § 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Section 2. Forms PTO/SB/08A and 08B (formerly Form PTO-1449)

☒ [X] A completed PTO/SB/08A and 08B is attached hereto.

☐ [] No Form PTO/SB/08A and 08B is attached.

Section 3. Copies of Listed Information Items Accompanying this Statement

Legible copies of all items listed in Form PTO/SB/08A and 08B accompany this information disclosure statement.

☐ [] Exception(s) to above:

☐ [] Items in prior application from which an earlier filing date is claimed for this application, as identified in Section 4.

☐ [] Cumulative patents or publications identified in Section 5.

Section 4. Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted

This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No. 09/973,274, filed on October 9, 2001 (date).

(complete the following, if applicable)

- ☐ This application also relies, under 35 U.S.C. 120, on the earlier filing date of prior application Serial No. _____, filed on _____ (date).

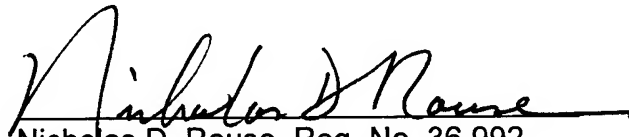
The following references were submitted to, and/or cited by, the Office in the prior application(s) and therefore, are not required to be provided in this application:

Section 5. Identification of Person(s) Making this INFORMATION DISCLOSURE STATEMENT

The person making this statement is the attorney who signs below on the basis of the information:

- ☒ supplied by the inventor(s)
- ☐ supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c)).
- ☒ in the attorney's file

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Nicholas D. Rouse", is written over a horizontal line.

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Date Deposited: October 2, 2003

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

C mplete if Known	
Application Number	Not Yet Assigned
Filing Date	Herewith
First Named Inventor	Ron E. Goade, Sr.
Group Art Unit	
Examiner Name	
Attorney Docket Number	6928.021

(use as many sheets as necessary)

U. S. PATENT DOCUMENTS

EXAM INIT.	Cite No. 1	<u>U.S. PATENT NUMBER</u> Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		4,978,146		Warther et al.	12/18/1990	
		4,995,642		Juszak et al.	02/1991	
		5,495,981		Warther	03/05/1996	
		5,720,158		Goade, Sr.	02/24/1998	
		5,746,450		Petkovsek	05/1998	
		5,769,457		Warther	06/23/1998	
		6,010,159		Warther	01/04/2000	
		6,092,841		Best et al.	07/2000	
		6,235,139		Vichinsky et al.	05/2001	

FOREIGN PATENT DOCUMENTS

EXAM INIT.	Cite No. 1	<u>Foreign Patent Document</u>			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office 3	Number 4	Kind Code ⁵ (if known)				
			GB2213770 A	United Kingdom	Nicholson	08/1989		

U.S. and Foreign: ¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard St.3). ⁴ Form Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

EXAM INIT.		<p style="text-align: center;">NON PATENT DOCUMENTS</p> <p>Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published</p>
Non Patent Documents: ¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.		
Examiner Signature:		Date Considered:
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